

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Keith L. House  
Joohyun Koh  
Prantik Mazumder

Examiner: *Hellu*  
*4-10-02*

Serial No:

Filed:

For: METHOD AND APPARATUS FOR  
REDUCING STRESS BETWEEN  
DEPOSITIONS WITHIN A SUBSTRATE  
TUBE

Group Art Unit:



## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Asst. Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231	
on <u>9/21/01</u>	Date of Deposit
<u>Randall S. Wayland</u> Name of applicant, assignee, or Registered Representative	
<u><i>Randall S. Wayland</i></u> Signature	
<u>9/21/01</u>	Date of Signature

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP01-276	
	APPLICANT de Sandro et al.	
	FILING DATE	GROUP:



REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	4,233,045	11/11/80	Sarkar	65	3	11/27/78
	AB	4,417,911	11/29/83	Cundy et al.	65	3.12	2/23/82
	AC	4,813,989	3/21/89	Uchiyama et al.	65	1	6/6/86
	AD	6,192,713	2/27/01	Zhang et al.	65	390	6/30/99
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
AR	
AS	
AT	
AU	
AV	
AW	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.